

**INFORMATION
DISCLOSURE
STATEMENT**

Atty. Docket No.: P0035365.03

Serial No.: 10/772,101

Applicant(s): Seguin et al.

Filing Date: 02-04-2004

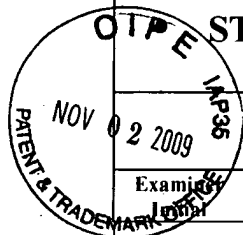
Group: 3774

U.S. PATENT DOCUMENTS

Examiner's Initials	Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
	3,334,629	08-08-1967	Cohn			
	3,540,431	11-17-1970	Mobin-Uddin			
	3,628,535	12-21-1971	Ostrowsky et al			
	3,642,004	02-15-1972	Osthagen et al			
	3,795,246	03-05-1974	Sturgeon			
	3,839,741	10-08-1974	Haller			
	3,868,956	03-04-1975	Alfidi et al			
	3,874,388	04-01-1975	King et al.			
	4,233,690	11-18-1980	Akins			
	4,265,694	05-05-1981	Boretos			
	4,291,420	09-29-1981	Reul			
	4,345,340	08-24-1982	Rosen			
	4,425,908	01-17-1984	Simon			
	4,501,030	02-26-1985	Lane			
	4,580,568	04-08-1986	Gianturco			
	4,610,688	09-09-1986	Silvestrini et al			
	4,647,283	03-03-1987	Carpentier et al			
	4,648,881	03-10-1987	Carpentier et al			
	4,662,885	05-05-1987	DiPisa, Jr.			
	4,665,906	05-19-1987	Jervis			
	4,681,908	07-21-1987	Broderick et al.			
	4,710,192	12-01-1987	Liotta et al			
	4,819,751	04-11-1989	Shimada et al			
	4,834,755	05-30-1989	Silvestrini et al			
	4,856,516	08-15-1989	Hillstead			
	4,872,874	10-10-1989	Taheri			
	4,878,495	11-07-1989	Grayzel			
	4,909,252	03-20-1990	Goldberger			
	4,917,102	04-17-1990	Miller et al			
	4,922,905	05-08-1990	Strecker			
	4,954,126	09-04-1990	Wallsten			

EXAMINER**Date Considered**

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Examiner Initial	Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
	5,002,559	03-26-1991	Tower			
	5,032,128	07-16-1991	Alonso			
	5,037,434	08-06-1991	Lane			
	5,061,273	10-29-1991	Yock			
	5,161,547	11-10-1992	Tower			
	5,217,483	07-08-1993	Tower			
	5,232,445	08-03-1993	Bonzel			
	5,272,909	12-28-1993	Nguyen et al.			
	5,327,774	07-12-1994	Nguyen et al.			
	5,350,398	09-27-1994	Pavcnik et al			
	5,389,106	02-14-1995	Tower			
	5,415,633	05-16-1995	Lazarus et al.			
	5,431,676	07-11-1995	Dubrul et al			
	5,489,294	02-06-1996	McVenes et al.			
	5,489,297	02-06-1996	Duran			
	5,496,346	03-05-1996	Horzewski et al.			
	5,500,014	03-19-1996	Quijano et al.			
	5,507,767	04-16-1996	Maeda et al			
	5,545,211	08-13-1996	An et al			
	5,575,818	11-19-1996	Pinchuk			
	5,580,922	12-03-1996	Park et al.			
	5,591,195	01-07-1997	Taheri et al.			
	5,609,626	03-11-1997	Quijano et al.			
	5,645,559	07-08-1997	Hachtman et al			
	5,665,115	09-09-1997	Cragg			
	5,667,523	09-16-1997	Bynon et al			
	5,674,277	10-07-1997	Freitag			
	5,695,498	12-09-1997	Tower			
	5,702,368	12-30-1997	Stevens et al.			
	5,713,953	02-03-1998	Vallana et al			
	5,716,417	02-10-1998	Girard et al.			
	5,749,890	05-12-1998	Shaknovich			

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U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
		5,766,151	06-16-1998	Valley et al.			
		5,782,809	07-21-1998	Umeno et al.			
		5,800,456	09-01-1998	Maeda et al			
		5,800,508	09-01-1998	Goicoechea et al.			
		5,817,126	10-06-1998	Imran			
		5,824,043	10-20-1998	Cottone Jr.			
		5,824,053	10-20-1998	Khosravi et al			
		5,824,056	10-20-1998	Rosenberg			
		5,824,041	10-20-1998	Lenker			
		5,824,061	10-20-1998	Quijano et al.			
		5,824,064	10-20-1998	Taheri			
		5,843,158	12-01-1998	Lenker et al.			
		5,855,601	01-05-1999	Bessler et al.			
		5,860,996	01-19-1999	Tower			
		5,861,028	01-19-1999	Angell			
		5,868,783	02-09-1999	Tower			
		5,876,448	03-02-1999	Thompson et al			
		5,888,201	03-30-1999	Stinson et al			
		5,891,191	04-06-1999	Stinson			
		5,906,619	05-25-1999	Olson et al.			
		5,907,893	06-01-1999	Zadno-Azizi et al			
		5,913,842	06-22-1999	Boyd et al			
		5,944,738	08-31-1999	Amplatz et al			
		5,954,766	09-21-1999	Zadno-Azizi et al			
		5,968,068	10-19-1999	Dehdashtian et al.			
		5,984,957	11-16-1999	Laptewicz, Jr. et al			
		5,997,573	12-07-1999	Quijano et al.			
		6,022,370	02-08-2000	Tower			
		6,029,671	02-29-2000	Stevens et al.			
		6,042,589	03-28-2000	Marianne			
		6,042,598	03-28-2000	Tsugita et al			
		6,051,104	04-18-2000	Jang			
		6,059,809	05-09-2000	Amor et al.			
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U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
		6,110,201	08-29-2000	Quijano et al.			
		6,146,366	11-14-2000	Schachar			
		6,159,239	12-12-2000	Greenhalgh			
		6,162,208	12-19-2000	Hipps			
		6,162,245	12-19-2000	Jayaraman			
		6,171,335	01-09-2001	Wheatley et al.			
		6,200,336	03-13-2001	Pavcnik et al			
		6,203,550	03-20-2001	Olson			
		6,210,408	04-03-2001	Chandrasekaran et al.			
		6,218,662	04-17-2001	Tchakarov et al.			
		6,221,006	04-24-2001	Dubrul et al			
		6,241,757	06-05-2001	An et al			
		6,258,114	07-10-2001	Konya et al			
		6,258,115	07-10-2001	Dubrul			
		6,258,120	07-10-2001	McKenzie et al			
		6,277,555	08-21-2001	Duran et al			
		6,302,906	10-16-2001	Goicoechea et al.			
		6,309,382	10-30-2001	Garrison et al.			
		6,309,417	10-30-2001	Spence et al			
		6,327,772	12-11-2001	Zadno-Azizi et al			
		6,338,735	01-15-2002	Stevens			
		6,348,063	02-19-2002	Yassour et al			
		6,350,277	02-26-2002	Kocur			
		6,352,708	03-05-2002	Duran et al			
		6,371,970	04-16-2002	Khosravi et al			
		6,371,983	04-16-2002	Lane			
		6,379,383	04-30-2002	Palmaz et al			
		6,380,457	04-30-2002	Yurek et al.			
		6,398,807	06-04-2002	Chouinard et al			
		6,409,750	06-25-2002	Hyodoh et al			
		6,468,303	10-22-2002	Amplatz et al			
		6,475,239	11-05-2002	Campbell et al			
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	6,488,704	12-03-2002	Connelly et al.			
	6,494,909	12-17-2002	Greenhalgh			
	6,503,272	01-07-2003	Duerig et al			
	6,508,833	01-21-2003	Pavcnik et al			
	6,527,800	03-04-2003	McGuckin, Jr. et al			
	6,530,949	03-11-2003	Konya et al			
	6,530,952	03-11-2003	Vesely			
	6,562,031	05-13-2003	Chandrasekaran et al.			
	6,562,058	05-13-2003	Seguin et al			
	6,569,196	05-27-2003	Vesely			
	6,585,758	07-01-2003	Chouinard et al.			
	6,592,546	07-15-2003	Barbut et al			
	6,605,112	08-12-2005	Moll et al.			
	6,613,077	09-02-2003	Gilligan et al.			
	6,622,604	09-23-2003	Chouinard et al			
	6,632,243	10-14-2003	Zadno-Azizi et al			
	6,635,068	10-21-2003	Dubrul et al			
	6,652,571	11-25-2003	White et al			
	6,656,213	12-02-2003	Solem			
	6,663,663	12-16-2003	Kim et al			
	6,669,724	12-30-2003	Park et al			
	6,673,089	01-06-2004	Yassour et al			
	6,673,109	01-06-2004	Cox			
	6,676,698	01-13-2004	McGuckin Jr. et al.			
	6,682,558	01-27-2004	Tu et al			
	6,682,559	01-27-2004	Myers et al			
	6,685,739	02-03-2004	DiMatteo et al			
	6,689,144	02-10-2004	Gerberding			
	6,689,164	02-10-2004	Seguin			
	6,692,512	02-17-2004	Jang			
	6,692,513	02-17-2004	Streeter et al.			
	6,695,878	02-24-2004	McGuckin Jr. et al.			
	6,702,851	03-09-2004	Chinn et al			

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		Applicant(s): Seguin et al.					
		Filing Date: 02-04-2004		Group: 3774			
U.S. PATENT DOCUMENTS							
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		6,719,789	04-13-2004	Cox			
		6,730,118	05-04-2004	Spenser et al			
		6,730,377	05-04-2004	Wang			
		6,733,525	05-11-2004	Yang et al			
		6,736,846	05-18-2004	Cox			
		6,752,828	06-22-2004	Thornton			
		6,758,855	07-06-2004	Fulton, III et al			
		6,769,434	08-03-2004	Liddicoat et al			
		6,786,925	09-07-2004	Schoon			
		6,790,229	09-14-2004	Berrekouw			
		6,792,979	09-21-2004	Konya et al			
		6,797,002	09-28-2004	Spence			
		6,821,297	11-23-2004	Snyders			
		6,830,575	12-14-2004	Stenzel et al			
		6,830,584	12-14-2004	Seguin			
		6,830,585	12-14-2004	Artof			
		6,846,325	01-25-2005	Liddicoat			
		6,866,650	03-15-2005	Stevens			
		6,872,223	03-29-2005	Roberts			
		6,875,231	04-05-2005	Anduiza et al.			
		6,883,522	04-26-2005	Spence et al.			
		6,887,266	05-02-2005	Williams et al			
		6,890,330	05-10-2005	Streeter et al			
		6,893,460	05-17-2005	Spenser et al.			
		6,896,690	05-24-2005	Lambrecht et al			
		6,908,481	06-21-2005	Cribier			
		6,913,600	07-05-2005	Valley et al.			
		6,929,653	08-16-2005	Streeter			
		6,936,066	08-30-2005	Palmaz et al.			
		6,939,365	09-06-2005	Fogarty et al.			
		6,951,571	10-04-2005	Srivastava			
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Examiner Initial		Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
		6,986,742	01-17-2006	Hart et al.			
		6,989,027	01-24-2006	Allen et al.			
		6,989,028	01-24-2006	Lashinski et al.			
		6,991,649	01-31-2006	Sievers			
		7,018,401	03-28-2006	Hyodoh et al.			
		7,041,128	05-09-2006	McGuckin Jr. et al.			
		7,044,966	05-16-2006	Svanidze et al.			
		7,048,014	05-23-2006	Hyodoh et al.			
		7,097,659	08-29-2006	Woolfson et al.			
		7,101,396	09-05-2006	Artof et al.			
		7,105,016	09-12-2006	Shui et al.			
		7,115,141	10-03-2006	Menz et al.			
		7,147,663	12-12-2006	Berg et al.			
		7,153,324	12-26-2006	Case et al.			
		7,160,319	01-09-2007	Chouinard et al.			
		7,175,656	02-13-2007	Khairkahan			
		7,186,265	03-06-2007	Sharkawy et al.			
		7,195,641	03-27-2007	Palmaz et al.			
		7,198,646	04-03-2007	Figulla et al.			
		7,201,761	04-10-2007	Woolfson et al.			
		7,201,772	04-10-2007	Schwammenthal et al.			
		7,252,682	08-07-2007	Seguin			
		7,300,457	11-27-2007	Palmaz			
		7,300,463	11-27-2007	Liddicoat			
		7,316,706	01-08-2008	Bloom et al.			
		7,329,278	02-12-2008	Seguin			
		7,335,218	02-26-2008	Wilson et al.			
		7,338,520	03-04-2008	Bailey et al.			
		7,374,571	05-20-2008	Pease et al.			
		7,381,218	06-03-2008	Shreck			
		7,384,411	06-10-2008	Condado			
		7,429,269	09-30-2008	Schwammenthal et al.			
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	7,442,204	10-28-2008	Schwammenthal et al.			
	7,462,191	12-09-2008	Spenser et al.			
	7,470,284	12-30-2008	Lambrech et al.			
	7,481,838	01-27-2009	Carpentier et al.			
	7,544,206	06-09-2009	Cohn et al			
	7,556,646	07-07-2009	Yang et al.			
	2001/0001314	05-17-2001	Davison et al.			
	2001/0011189	08-02-2001	Drasler et al.			
	2001/0021872	09-13-2001	Bailey et al.			
	2001/0025196	09-27-2001	Chinn et al			
	2001/0032013	10-18-2001	Marton			
	2001/0039450	11-08-2001	Pavcnik et al			
	2001/0041928	11-15-2001	Pavcnik et al			
	2001/0044647	11-22-2001	Pinchuk et al.			
	2002/0010508	01-24-2002	Chobotov			
	2002/0029014	03-07-2002	Jayaraman			
	2002/0032480	03-14-2002	Spence et al			
	2002/0035396	03-21-2002	Heath			
	2002/0042650	04-11-2002	Vardi et al.			
	2002/0052651	05-02-2002	Myers et al			
	2002/0072789	06-13-2002	Hackett et al.			
	2002/0095209	07-18-2002	Zadno-Azizi et al			
	2002/0099439	07-25-2002	Schwartz et al.			
	2002/0103533	08-01-2002	Langberg et al.			
	2002/0107565	08-08-2002	Greenhalgh			
	2002/0111674	08-15-2002	Chouinard et al			
	2002/0123802	09-05-2002	Snyders			
	2002/0133183	09-19-2002	Lentz et al.			
	2002/0161392	10-31-2002	Dubrul			
	2002/0161394	10-31-2002	Macoviak et al			
	2002/0193871	12-19-2002	Beyersdorf et al			
	2003/0014104	01-16-2003	Cribier			
	2003/0023300	01-30-2003	Bailey et al.			

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U.S. PATENT DOCUMENTS							
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		2003/0028247	02-06-2003	Cali			
		2003/0036791	02-20-2003	Bonhoeffer et al			
		2003/0040771	02-27-2003	Hyodoh et al			
		2003/0040772	02-27-2003	Hyodoh et al			
		2003/0040792	02-27-2003	Gabbay			
		2003/0050694	03-13-2003	Yang et al.			
		2003/0055495	03-20-2003	Pease et al			
		2003/0065386	04-03-2003	Weadock			
		2003/0069492	04-10-2003	Abrams et al.			
		2003/0109924	06-12-2003	Cribier			
		2003/0125795	07-03-2003	Pavcnik et al			
		2003/0130726	07-10-2003	Thorpe et al.			
		2003/0130729	07-10-2003	Paniagua et al.			
		2003/0139804	07-24-2003	Hankh et al.			
		2003/0149475	08-07-2003	Hyodoh et al			
		2003/0149476	08-07-2003	Damm et al			
		2003/0149478	08-07-2003	Figulla et al			
		2003/0153974	08-14-2003	Spenser et al			
		2003/0181850	09-25-2003	Diamond et al			
		2003/0191519	10-09-2003	Lombardi et al.			
		2003/0199913	10-23-2003	Dubrul et al			
		2003/0199963	10-23-2003	Tower et al.			
		2003/0199971	10-23-2003	Tower, et al			
		2003/0199972	10-23-2003	Zadno-Azizi et al			
		2003/0212410	11-13-2003	Stenzel et al.			
		2003/0212452	11-13-2003	Zadno-Azizi et al			
		2003/0212454	11-13-2003	Scott et al			
		2004/0034411	02-19-2004	Quijano et al			
		2004/0039436	02-26-2004	Spenser et al			
		2004/0049224	03-11-2004	Buehlmann et al			
		2004/0049262	03-11-2004	Obermiller et al			
		2004/0049266	03-11-2004	Anduiza et al			
		2004/0082904	04-29-2004	Houde et al			
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Examiner Initial		Document Number	Date	Name	Class	SubClass	Filing Date If Appropriate
		2004/0088045	05-06-2004	Cox			
		2004/0093005	05-13-2004	Durcan			
		2004/0093060	05-13-2004	Sequin et al.			
		2004/0097788	05-20-2004	Mourles et al.			
		2004/0098112	05-20-2004	DiMatteo et al			
		2004/0106976	06-03-2004	Bailey et al.			
		2004/0106990	06-03-2004	Spence et al.			
		2004/0111096	06-10-2004	Tu et al			
		2004/0116951	06-17-2004	Rosengart			
		2004/0117004	06-17-2004	Osborne et al			
		2004/0122468	06-24-2004	Yodfat et al			
		2004/0122516	06-24-2004	Fogarty			
		2004/0127979	07-01-2004	Wilson			
		2004/0138742	07-15-2004	Myers et al			
		2004/0138743	07-15-2004	Myers et al			
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		Expert report of Dr. Nigel Buller, dated January 12, 2009, Edwards' United Kingdom action for invalidity, Claim No. HC 08CO0934.	
		Expert report of Dr. Nigel Buller, non-confidential annex – infringement, dated January 12, 2009, Edwards' United Kingdom action for invalidity, Claim No. HC 08CO0934.	
EXAMINER		Date Considered	
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INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: P0035365.03		Serial No.: 10/772,101
	Applicant(s): Seguin et al.		
	Filing Date: 02-04-2004		Group: 3774
OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)			
		Expert report of Dr. Rodolfo Quijano, dated January 9, 2009, Edwards' United Kingdom action for invalidity, Claim No. HC 08CO0934.	
		First Expert report of Prof. David Williams, dated January 12, 2009, Edwards' United Kingdom action for invalidity, Claim No. HC 08CO0934.	
		First Expert report of Prof. Martin Rothman, dated January 12, 2009, Edwards' United Kingdom action for invalidity, Claim No. HC 08CO0934.	
		Fourth Expert report of Prof. Martin Rothman, dated April 22, 2009, Edwards' United Kingdom action for invalidity, Claim No. HC 08CO0934.	
		Second Expert report of Dr. Nigel Buller, dated February 25, 2009, Edwards' United Kingdom action for invalidity, Claim No. HC 08CO0934.	
		Second Expert report of Dr. Rodolfo Quijano, dated February 26, 2009, Edwards' United Kingdom action for invalidity, Claim No. HC 08CO0934.	
		Second Expert report of Prof. David Williams, dated February 5, 2009, Edwards' United Kingdom action for invalidity, Claim No. HC 08CO0934.	
		Second Expert report of Prof. Martin Rothman, dated February 5, 2009, Edwards' United Kingdom action for invalidity, Claim No. HC 08CO0934.	
		Third Expert report of Dr. Nigel Buller, dated April 21, 2009, Edwards' United Kingdom action for invalidity, Claim No. HC 08CO0934.	
		Third Expert report of Dr. Rodolfo Quijano, dated April 27, 2009, Edwards' United Kingdom action for invalidity, Claim No. HC 08CO0934.	
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		Second Expert report of Dr. Nigel Person Buller (5 pages), <i>Corevalve, Inc. v. Edwards Lifesciences AG and Edwards Lifesciences PVT, Inc.</i> , High Court of Justice – Chancery Division Patents Court, United Kingdom, Case No. HC-07-C01243.	
		Drawing by Dr. Buller (Edwards Expert) of his interpretation of the "higher stent" referred to at column 8, lines 13-222 of Andersen EP 592410B1 (1 page), <i>Corevalve, Inc. v. Edwards Lifesciences AG and Edwards Lifesciences PVT, Inc.</i> , High Court of Justice – Chancery Division Patents Court, United Kingdom, Case No. HC-07-C01243.	
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		Drawing by Dr. Buller (Edwards Expert) of "higher stent" on the schematic representation of the aortic valve area set out in Figure 2 of Rothman's first expert report (1 page), <i>Corevalve, Inc. v. Edwards Lifesciences AG and Edwards Lifesciences PVT, Inc.</i> , High Court of Justice – Chancery Division Patents Court, United Kingdom, Case No. HC-07-C01243.	
		First Expert report of Professor John R. Pepper (20 pages), <i>Corevalve, Inc. v. Edwards Lifesciences AG and Edwards Lifesciences PVT, Inc.</i> , High Court of Justice – Chancery Division Patents Court, United Kingdom, Case No. HC-07-C01243.	
		Second Expert report of Professor John R. Pepper (3 pages), <i>Corevalve, Inc. v. Edwards Lifesciences AG and Edwards Lifesciences PVT, Inc.</i> , High Court of Justice – Chancery Division Patents Court, United Kingdom, Case No. HC-07-C01243.	
		First Expert report of Dr. Anthony C. Lunn (7 pages), <i>Corevalve, Inc. v. Edwards Lifesciences AG and Edwards Lifesciences PVT, Inc.</i> , High Court of Justice – Chancery Division Patents Court, United Kingdom, Case No. HC-07-C01243.	
		First Witness statement of Stanton Rowe (9 pages), <i>Corevalve, Inc. v. Edwards Lifesciences AG and Edwards Lifesciences PVT, Inc.</i> , High Court of Justice – Chancery Division Patents Court, United Kingdom, Case No. HC-07-C01243.	
		Second Witness statement of Stanton Rowe (3 pages), <i>Corevalve, Inc. v. Edwards Lifesciences AG and Edwards Lifesciences PVT, Inc.</i> , High Court of Justice – Chancery Division Patents Court, United Kingdom, Case No. HC-07-C01243.	
		PVT slides naming Alain Cribier, Martin Leon, Stan Rabinovich and Stanton Rowe (16 pages), <i>Corevalve, Inc. v. Edwards Lifesciences AG and Edwards Lifesciences PVT, Inc.</i> , High Court of Justice – Chancery Division Patents Court, United Kingdom, Case No. HC-07-C01243.	
		First Expert report of Professor Martin Terry Rothman (75 pages), <i>Corevalve, Inc. v. Edwards Lifesciences AG and Edwards Lifesciences PVT, Inc.</i> , High Court of Justice – Chancery Division Patents Court, United Kingdom, Case No. HC-07-C01243.	
		Reply Expert report of Professor Martin Terry Rothman (9 pages), <i>Corevalve, Inc. v. Edwards Lifesciences AG and Edwards Lifesciences PVT, Inc.</i> , High Court of Justice – Chancery Division Patents Court, United Kingdom, Case No. HC-07-C01243.	
		First Expert report of Richard A. Hillstead (41 pages), <i>Corevalve, Inc. v. Edwards Lifesciences AG and Edwards Lifesciences PVT, Inc.</i> , High Court of Justice – Chancery Division Patents Court, United Kingdom, Case No. HC-07-C01243.	
		Reply Expert report of Richard A. Hillstead (9 pages), <i>Corevalve, Inc. v. Edwards Lifesciences AG and Edwards Lifesciences PVT, Inc.</i> , High Court of Justice – Chancery Division Patents Court, United Kingdom, Case No. HC-07-C01243.	
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